



#111328  
12/11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter M. Glazer, and Pamela A. Havre

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TECH CENTER 1600/2900

Serial No.: 09/783,338 Art Unit: 1637

Filed: February 14, 2001 Examiner: Jeffrey Norman Fredman

For: "CHEMICALLY MODIFIED OLIGONUCLEOTIDE FOR SITE-DIRECTED  
MUTAGENESIS"

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Transmittal of Sequence Listing for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,  
Amendment and Declaration under 37 C.F.R. § 1.821 (f)**

Sir:

Applicants enclose a 3 & 1/2" diskette containing a computer-readable form of the Sequence Listing filed on February 14, 2001 as well as a paper copy of the Sequence Listing. The Sequence Listing has been constructed using PatentIN 3.1 software. There are only minor formatting changes resulting from differences from the earlier software version. The correct serial number of the application has been included and there are no substantive differences from the Sequence Listing filed on February 14, 2001.

U.S.S.N.: 09/783,338

Filed: February 14, 2001

Transmittal of Sequence Listing for Patent Applications


Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,  
and Declaration under 37 C.F.R. § 1.821 (f)

### Remarks

#### Declaration under 37 C.F.R. § 1.821(f)

I declare that the material on the diskette is identical to the enclosed paper copy of the Sequence Listing and the sequences as filed in the application on February 14, 2001, that the Sequence Listing does not add new matter to the application, and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

  
\_\_\_\_\_  
Patricia L. Pabst  
Reg. No. 31,284

Dated: November 15, 2002

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U.S.S.N.: 09/783,338

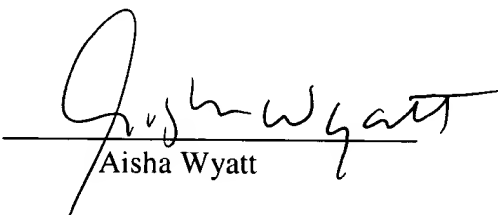
Filed: February 14, 2001

Transmittal of Sequence Listing for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,  
and Declaration under 37 C.F.R. § 1.821 (f)

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

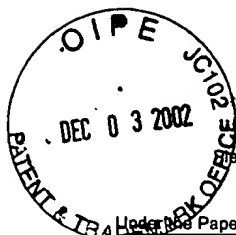
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Aisha Wyatt

Date: November 15, 2002

ATL1 #551512 v1



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PTO/SB/21 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/783,338	
	Filing Date	02/14/2001	
	First Named Inventor	Peter M. Glazer	
	Group Art Unit	1637	
	Examiner Name	Jeffrey Norman Fredman	
Total Number of Pages in This Submission	34	Attorney Docket Number	YU 109 CON

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Terminal Disclaimer	Return post-card, Sequence Listing (paper copy and 3 1/2" diskette), Statements Under 37 CFR § 3.73(b);
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Patrea L. Pabst, Reg. No. 31,284 Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400	
Signature		
Date	November 15, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 15, 2002			
Typed or printed name	Aisha Wyatt		
Signature		Date	November 15, 2002

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078245/00039



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 55.00

## Complete if Known

Application Number 09/783,338  
Filing Date February 14, 2001  
First Named Inventor Peter M. Glazer  
Examiner Name Jeffrey Norman Fredman  
Group Art Unit 1637  
Attorney Docket No. YU 109 CON

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## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number  
Deposit Account Name

50-1868

Holland & Knight LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
9 - 20	0	0	0
1 - 3	0	0	0
Multiple Dependent			

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) -0-

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) Statutory Disclaimer

55.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 55.00

## SUBMITTED BY

Name (Print/Type) Patrea L. Pabst

Registration No. 31,284  
(Attorney/Agent)

## Complete (if applicable)

Telephone 404 817-8473

Signature

Date November 15, 2002

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